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| Bachel Mena | Date | June 7, 2005

IFW/

PATENT

Attorney Docket No.: 39780-1618P2C5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION		
Inventor(s): Avi Ashkenazi, et al.)) Art Unit: 1646	
Application No.: 09/905,075)) Examiner: Chernyshev, Olga N.	
Filed: 07/13/2001)	
Title: PRO211 POLYPEPTIDES)	

INFORMATION DISCLOSURE STATEMENT

<u>UNDER 37 C.F.R. §1.97</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each cited pending unpublished U.S. application, and all additional publications other than U.S. patents and U.S. patent application publications. 37 C.F.R. §§1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

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	This st	atement	qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
		(1)	It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d) OR
		(2)	It is being filed within 3 months of entry of a national stage OR
		(3)	It is being filed before the mail date of the first Office Action on the merits OR
		(4)	It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
\boxtimes	of a na §1.491	tional ap in an in the mail	7(c). If this statement is being filed after the latest of: (1) three months beyond the filing date oplication; (2) three months beyond the date of entry of the national stage as set forth in ternational application; or (3) the mailing date of a first Office action on the merits, but ing date of the earlier of a final office action under §1.113 or a notice of allowance under
		a certif	ication as specified in §1.97(e) is provided below; or
			f \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the nt of other papers filed together with this statement.
			7(d). If this statement is being filed after the mailing date of the earlier of a final office 1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
	Α.	a certif	ication as specified in §1.97(e) is completed below; and
	B.	a petition	on under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted th; and
	C.		f \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the nt of other papers filed together with this statement.
	any ado	ditional f	ion. The Commissioner is hereby authorized to charge the above-referenced fees and charge fees or credit any overpayment associated with this communication to Deposit Account No. et No.: 39780-1618P2C5).
			Respectfully submitted,
			HELLER EHRMAN LLP
Dated:		ture	9 2005 By: Leslie Mooi, Reg. No.: 37,047
275 Mi Menlo Teleph Facsim	ddlefield Park, CA one: (65	A 94025 50) 324-7 0) 324-0	-3506 7000

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INFORMATION DISCLOSURE STATEMENT

PTO-1449

ATTY. DOCKET NO. SERIAL NO. 39780-1618P2C5 09/905,075

APPLICANT: Avi Ashkenazi, et al.

FILING DATE: 07/13/2001 GROUP: 1646

		U.S.	PATENT DOCUMENTS			
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			cluding Author, Title, Date, Po			
			7029; National Cancer Institute,	Cancer Ger	nome Anatomy	Project, Tumor
	Gene Index; Un					
•			77023; National Cancer Institute,	Cancer Ge	nome Anatomy	Project, Tumor
	Gene Index; Un	oublished (1997); NCI-CGAP			
			6975; National Cancer Institute,	Cancer Ger	nome Anatomy	Project, Tumor
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			0214; Identification of sequence			
			hierarchy, Genomics 50(1):44-5			
	i i	sion No.: AA21	4585; WashU-NCI human EST	Project; Un	publishea (1997); Hiller, L., et
	al.	rian Na . A A 10	4498; WashU-NCI human EST	Project: Un	nublished (1997	N. Hilliam I et
	al.	sion No.: AA19	4498; WashO-NCI human EST	Project, Oil	published (1997), Hiller, L., et
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	al.	SIGII ING AATO	1451, Washo-Nei human Est	roject, on	published (1997), Timiler, E., et
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	GenBank Acces	sion No.: AA51	6500; National Cancer Institute,	Cancer Ger	nome Anatomy	Project, Tumor
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			6492; National Cancer Institute,	Cancer Ger	nome Anatomy	Project, Tumor
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			4788; Generation and analysis o	f 280,000 h	uman expressed	I sequence tags;
			996); Hillier,L., et al.			
			4416; Generation and analysis o	f 280,000 h	uman expressed	I sequence tags;
			96); Hillier,L., et al.			
			9482; Generation and analysis o	f 280,000 h	uman expressed	I sequence tags;
	Genome Res. 6	(9), 807-828 (19	96); Hillier,L., et al.			
			5763; Generation and analysis o	f 280,000 h	uman expressed	sequence tags;
			96); Hillier,L., et al.	C200 000 1		1
			5497; Generation and analysis o	t 280,000 h	uman expressed	sequence tags;
	Genome Kes. 6	(9), 807-828 (19	96); Hillier,L., et al.			
EXAMINER			DATE CONSIDERED			

ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 GROUP: 1646 FILING DATE: 07/13/2001 U.S. PATENT DOCUMENTS FILING DATE **CLASS SUBCLASS EXAMINER'S** PATENT NO. DATE **NAME INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA074670; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA074069; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA064897; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA548400; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA146624; WashU-NCI human EST Project; Unpublished (1997); Hillier, L., et GenBank Accession No.: AA143555; WashU-NCI human EST Project; Unpublished (1997); Hillier, L., et GenBank Accession No.: AA428515; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et GenBank Accession No.: AA428367; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA424847; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA426627; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA425667; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA425394; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA425242; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA424647; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA424594; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA424593; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA424244; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA420826; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA420686; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA420697; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA420657; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP **EXAMINER** DATE CONSIDERED

PTO-1449

ATTY. DOCKET NO. SERIAL NO. 39780-1618P2C5 09/905,075

APPLICANT: Avi Ashkenazi, et al.

FILING DATE: 07/13/2001 GROUP: 1646

	Ī	l	PATENT DOCUMENTS			
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DAT
			cluding Author, Title, Date, Po			
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DATE CONSIDERED

SERIAL NO. ATTY. DOCKET NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 GROUP: 1646 FILING DATE: 07/13/2001 **U.S. PATENT DOCUMENTS SUBCLASS** FILING DATE CLASS DATE NAME **EXAMINER'S** PATENT NO. **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA573133; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA572857; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA558248; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA585436; Human HTCDL1 library cDNAs; Unpublished 1994; Sohn, U., et al. GenBank Accession No.: AA585429; Human HTCDL1 library cDNAs; Unpublished 1994; Sohn, U., et al. GenBank Accession No.: AA585354; Human HTCDL1 library cDNAs; Unpublished 1994; Sohn, U., et al. GenBank Accession No.: C75005; Large scale collection of expressed sequence tags (ESTs) from human pancreatic islet cDNA library; Unpublished (1997); Takeda, J. GenBank Accession No.: AA568630; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA558634; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA557804; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA557784; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA554999; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA554644; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA553970; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA565164; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA564108; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA552829; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA552827; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA570614; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA551842; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA551827; National Cancer Institute, Cancer Genome Anatomy Project, Tumor

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Gene Index; Unpublished (1997); NCI-CGAP

ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 GROUP: 1646 FILING DATE: 07/13/2001 **U.S. PATENT DOCUMENTS** SUBCLASS FILING DATE **CLASS** EXAMINER'S PATENT NO. DATE NAME INITIALS OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA551825; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA551737; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA551727; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA551698; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA551463; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA550791; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA550722; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA548220; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA570183; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA569556; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA569328; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA568415; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA564966; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA564543; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGAP GenBank Accession No.: AA564062; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA578900; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA580026; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA568108; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA535922; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA535763; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA535744; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA **EXAMINER DATE CONSIDERED**

ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 **GROUP: 1646** FILING DATE: 07/13/2001 **U.S. PATENT DOCUMENTS FILING DATE SUBCLASS CLASS EXAMINER'S** PATENT NO. DATE NAME **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA535559; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA535497: National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA535462: National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA534256; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA534135; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA534087; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533961; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533211; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533169; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533162; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533145; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533053; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533045; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533031; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533004; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA532960; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA532912; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA532712; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA532710; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA532590; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA532578; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA **EXAMINER** DATE CONSIDERED

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APPLICANT: Avi Ashkenazi, et al.

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APPLICANT: Avi Ashkenazi, et al.

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ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 GROUP: 1646 FILING DATE: 07/13/2001 **U.S. PATENT DOCUMENTS SUBCLASS** FILING DATE **CLASS** DATE **EXAMINER'S** PATENT NO. NAME **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA502973; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA502965; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA502200; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA502191; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA502180; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA502154; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA502074; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA502073; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA502072; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA502071; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA493445; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA494243; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA492207; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA492204; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA492168; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA492163; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA507789; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA507785; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA507685; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA507679; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA507545; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA **EXAMINER** DATE CONSIDERED

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GenBank Accession No.: AA507769; National Cancer Institute, Cancer Genome Anatomy Project, Tumor

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GenBank Accession No.: AA399327; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al.

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APPLICANT: Avi Ashkenazi, et al.

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SERIAL NO. ATTY. DOCKET NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 FILING DATE: 07/13/2001 GROUP: 1646 **U.S. PATENT DOCUMENTS** CLASS **SUBCLASS** FILING DATE PATENT NO. **EXAMINER'S** DATE **NAME INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA524439; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA522599; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA507652; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA507633; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA507510; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA130785; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA133485; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA129739; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA084502; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA464900; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA463818; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA461062; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA461020; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA460672; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA460492; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA460475; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA460043; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA459954; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA454090; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA450348; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA448534; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA447552; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA442736; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. DATE CONSIDERED

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ATTY. DOCKET NO. SERIAL NO. 39780-1618P2C5 09/905,075

APPLICANT: Avi Ashkenazi, et al.

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based upon 83 million nucleo	otides of cDNA sequence; Nature 377(6		
Adams, M.D., et al.			
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ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 GROUP: 1646 FILING DATE: 07/13/2001 **U.S. PATENT DOCUMENTS SUBCLASS** FILING DATE **CLASS EXAMINER'S** PATENT NO. DATE NAME **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA371158; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA370902; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA370878; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA369995; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA369975; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA369957; Rapid cDNA sequencing (expressed sequence tags) from a directionally cloned human infant brain cDNA library; Nat. Genet. 4, 373-380 (1993); Adams, M. D., et al. GenBank Accession No.: AA367807; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA364975; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA361655; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA360690; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA360619; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA360537; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA358983; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA357362; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. DATE CONSIDERED **EXAMINER**

SERIAL NO. ATTY. DOCKET NO. INFORMATION DISCLOSURE 39780-1618P2C5 09/905,075 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 GROUP: 1646 FILING DATE: 07/13/2001 U.S. PATENT DOCUMENTS PATENT NO. **CLASS SUBCLASS** FILING DATE **EXAMINER'S** DATE NAME **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA355454; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA347726; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA347621; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA346951; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA341153; Rapid cDNA sequencing (expressed sequence tags) from a directionally cloned human infant brain cDNA library; Nat. Genet. 4, 373-380 (1993); Adams, M. D., et al. GenBank Accession No.: AA339588; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA338092: Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA332153; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA327758; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA317353; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA317051: Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA316821; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA316565: Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA313455; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. DATE CONSIDERED **EXAMINER**

PTO-1449

ATTY. DOCKET NO. SERIAL NO. 39780-1618P2C5 09/905,075

APPLICANT: Avi Ashkenazi, et al.

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			FILING DATE: 07/13/2001 GROUP: 1646				
		U.S	6. PATENT DOCUMENTS				
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			ides of cDNA sequence; Nature 37				
•	Adams, M.D., et		, , , , , , , , , , , , , , , , , , ,	(. F-7, (- ,,	
			308561; Initial assessment of huma	n gene dive	rsity and expre	ssion patterns	
			ides of cDNA sequence; Nature 37				
	Adams, M.D., et		• ,			,,	
			305190; Initial assessment of huma	n gene dive	rsity and expre	ssion patterns	
			ides of cDNA sequence; Nature 37				
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			305061; Initial assessment of huma	n gene dive	rsity and expres	ssion patterns	
			ides of cDNA sequence; Nature 37				
	Adams, M.D., et		1	. ()	F-), / (/	- /,	
			304722; Initial assessment of huma	n gene dive	rsity and expres	ssion natterns	
			ides of cDNA sequence; Nature 37				
	Adams, M.D., et		,	. (00 50)	, p., , , , , , , , , , , , , , , , , ,	- /,	
			304273; Initial assessment of huma	n gene dive	rsity and expres	ssion natterns	
			ides of cDNA sequence; Nature 37				
	Adams, M.D., et			. (- /,	
			303809; Initial assessment of huma	n gene dive	rsity and expres	ssion patterns	
			ides of cDNA sequence; Nature 37				
	Adams, M.D., et		•	` '	• **	**	
	GenBank Access	sion No.: AA3	303436; Initial assessment of huma	n gene dive	rsity and expres	ssion patterns	
			ides of cDNA sequence; Nature 37				
	Adams, M.D., et		•	` '	• **	**	
			03078; Rapid cDNA sequencing (expressed s	equence tags) fi	rom a	
			fant brain cDNA library; Nat. Gene				
			303077; Rapid cDNA sequencing (
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			000220; Initial assessment of human				
			ides of cDNA sequence; Nature 37				
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	GenBank Access	sion No.: AA2	98881; Initial assessment of huma	n gene dive	rsity and expres	sion patterns	
			ides of cDNA sequence; Nature 37				
	Adams, M.D., et	al.	• :	,	• • •	**	
	GenBank Access	sion No.: AA2	98885; Initial assessment of human	n gene dive	rsity and expres	sion patterns	
			ides of cDNA sequence; Nature 37				
			• •			- *	
	Adams, M.D., et	al.					
			98657 Initial assessment of human	gene dive	sity and expres	sion patterns	
	GenBank Access	sion No.: AA2	98657 Initial assessment of human ides of cDNA sequence; Nature 37				
	GenBank Access	sion No.: AA2 illion nucleot					
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	GenBank Access based upon 83 m Adams,M.D., et GenBank Access	sion No.: AA2 nillion nucleot al. sion No.: AA2	ides of cDNA sequence; Nature 37	7(6547 Sup	opl), 3-174 (199 rsity and expres	5);	
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39780-1618P2C5

SERIAL NO. 09/905,075

APPLICANT: Avi Ashkenazi, et al.

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			298291; Initial assessment of huma			ssion patterns				
			ides of cDNA sequence; Nature 37							
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	based upon 83 m	nillion nucleot	ides of cDNA sequence; Nature 37	7(6547 Suj	ppl), 3-174 (199	95);				
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			ides of cDNA sequence; Nature 37	7(6547 Su _l	ppl), 3-174 (199	95);				
	Adams, M.D., et									
			298066; Initial assessment of huma							
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			297608; Initial assessment of huma	n gene dive	ersity and expre	ssion patterns				
			ides of cDNA sequence; Nature 37							
	Adams, M.D., et		,	. ()		- 7,				
-			297030; Initial assessment of huma	n gene dive	ersity and expre	ssion patterns				
	based upon 83 m	nillion nucleot	ides of cDNA sequence; Nature 37	7(6547 Su	opl), 3-174 (199	95);				
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			ides of cDNA sequence; Nature 37	/(654/ Suj	opi), 3-1/4 (199	(د);				
	Adams, M.D., et		297256; Initial assessment of huma	n gang diye	raity and avera	ssion nottoms				
			ides of cDNA sequence; Nature 37							
	Adams, M.D., et		racs of epital sequence, return 37	/(054/ Bu _l	ο ρ ι), ο τη (199	<i>-</i> 5),				
- · · · · · · · · · · · · · · · · · · ·			296793; Initial assessment of huma	n gene dive	ersity and expre	ssion patterns				
	1		ides of cDNA sequence; Nature 37			-				
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			296225; Initial assessment of huma							
			ides of cDNA sequence; Nature 37	7(6547 Sup	opl), 3-174 (199	95);				
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			ides of cDNA sequence; Nature 37	/(6547 Sup	opi), 3-174 (199	(3);				
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			294850; Initial assessment of humanides of cDNA sequence; Nature 37							
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			34584; WashU-Merck EST Projec	t 1007: I In	nublished 1007	· Hillian I et o				
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· · · · · · · · · · · · · · · · · · ·	GenBank Accession No.: AA188576; Generation and analysis of 280,000 human expressed sequence tags;						
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ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 FILING DATE: 07/13/2001 GROUP: 1646 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA148742; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA142830; WashU-Merck EST Project 1995; Unpublished 1995; Hillier, L., et GenBank Accession No.: AA135576; WashU-Merck EST Project 1995; Unpublished 1995; Hillier, L., et GenBank Accession No.: AA134741; WashU-Merck EST Project 1995; Unpublished 1995; Hillier, L., et GenBank Accession No.: AA016198; WashU-Merck EST Project 1995; Unpublished 1995; Hillier, L., et GenBank Accession No.: W96255; WashU-Merck EST Project 1995; Unpublished 1995; Hillier, L., et al. GenBank Accession No.: AA133340; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA129913; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: W31233; WashU-Merck EST Project 1995; Unpublished 1995; Hillier, L., et al. GenBank Accession No.: AA086038; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA082042; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: W63796; WashU-Merck EST Project 1995; Unpublished 1995; Hillier, L., et al. GenBank Accession No.: AA223617; WashU-Merck EST Project 1995; Unpublished 1995; Hillier, L., et GenBank Accession No.: AA218549; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA218548; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA216757; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA216755; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA161431; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, L., GenBank Accession No.: AA227333; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA227381; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA227015; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA226931; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA224580; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA161219; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA159121; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier,

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L., et al.

ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 FILING DATE: 07/13/2001 **GROUP: 1646** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA489319; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA489463; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA100216; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA079751; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA075724; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA064595; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA065044; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA477270; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, L., GenBank Accession No.: AA405215; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA402665; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA402235; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA159086: WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA146624; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA143739; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA143726; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA143555; WashU-NCI Human EST Project 1997; Unpublished 1997; Hillier, GenBank Accession No.: AA428515; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA429428; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA430318; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA425157; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA419490; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA587386; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA582961; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA579316; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA578507; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA

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SHEET 28 OF 37 ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 GROUP: 1646 FILING DATE: 07/13/2001 **U.S. PATENT DOCUMENTS** FILING DATE **CLASS SUBCLASS EXAMINER'S** PATENT NO. DATE NAME **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA574391; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA576497; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA558349: National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA565889; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA564499; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA577007; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA572905; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA534799; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA534542; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA533505; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA228426; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA228353; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA535733; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA516514; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA515498; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA503633; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA261959; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA554836; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA486867; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA485845; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al.

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ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 FILING DATE: 07/13/2001 **GROUP: 1646** U.S. PATENT DOCUMENTS **FILING DATE EXAMINER'S** PATENT NO. DATE NAME **CLASS SUBCLASS INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA234880; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA234715; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA236409; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA236408; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA228049; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA228011; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA527509; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA526987; National Cancer Institute, Cancer Genome Anatomy Project, Tumor Gene Index; Unpublished (1997); NCI-CGA GenBank Accession No.: AA458463; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA455377; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA455223; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA448940; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA442975; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA442650; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA442640; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA436795; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA410374; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA411593; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA411540; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA405235; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al.

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ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 FILING DATE: 07/13/2001 GROUP: 1646 U.S. PATENT DOCUMENTS **SUBCLASS** FILING DATE **CLASS** DATE **EXAMINER'S** PATENT NO. NAME **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA402234; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA400276; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA401316; WashU-Merck EST Project 1997; Unpublished 1997; Hillier, L., et al. GenBank Accession No.: AA035266; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA031678; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA031677; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA026175; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA010229; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA385221; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA375326; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA374874; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA374263; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA359764; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA349570; 3,400 expressed sequence tags identify diversity of transcripts from human brain; Nat. Genet. 4, 256-267 (1993), Adams, M.D., et al. GenBank Accession No.: AA349569; 3,400 expressed sequence tags identify diversity of transcripts from human brain; Nat. Genet. 4, 256-267 (1993), Adams, M.D., et al. GenBank Accession No.: AA347823; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA344459; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. DATE CONSIDERED **EXAMINER**

ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 39780-1618P2C5 09/905,075 STATEMENT APPLICANT: Avi Ashkenazi, et al. PTO-1449 GROUP: 1646 FILING DATE: 07/13/2001 **U.S. PATENT DOCUMENTS** FILING DATE **CLASS SUBCLASS EXAMINER'S** PATENT NO. DATE NAME **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA343828; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA332443; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA331589; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA321034; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams.M.D., et al. GenBank Accession No.: AA319878; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA317601; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA300847; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA297594; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA297535; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA297007: Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA296694; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA294974: Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al. GenBank Accession No.: AA294885; Initial assessment of human gene diversity and expression patterns based upon 83 million nucleotides of cDNA sequence; Nature 377(6547 Suppl), 3-174 (1995); Adams, M.D., et al.

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ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE 09/905,075 39780-1618P2C5 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 GROUP: 1646 FILING DATE: 07/13/2001 **U.S. PATENT DOCUMENTS** FILING DATE **CLASS SUBCLASS EXAMINER'S** PATENT NO. DATE NAME INITIALS OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: AA101475; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: C05685; Human pancreatic islet ESTs; Unpublished (1995); Takeda,J. GenBank Accession No.: W44900; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: W39595; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: AA053511; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA053124; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: AA044127; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: AA040335; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., GenBank Accession No.: AA019588; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: AA018379; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: R58843; Gene-based Sequence Tagged Sites (STSs) as the basis for a human gene map; Nat. Genet. 10, 415-423 (1995); Berry, R., et al. GenBank Accession No.: R58836; Gene-based Sequence Tagged Sites (STSs) as the basis for a human gene map; Nat. Genet. 10, 415-423 (1995); Berry, R., et al. GenBank Accession No.: W60092; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: W52884; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: W17355; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: W01171; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: N84168; cDNAs from fetal heart (1996); Unpublished (1996); Liew, C.C. GenBank Accession No.: N78968; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: N68345: The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: N67591; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. **DATE CONSIDERED EXAMINER**

SERIAL NO. ATTY. DOCKET NO. INFORMATION DISCLOSURE 39780-1618P2C5 09/905,075 **STATEMENT** APPLICANT: Avi Ashkenazi, et al. PTO-1449 FILING DATE: 07/13/2001 **GROUP: 1646 U.S. PATENT DOCUMENTS CLASS SUBCLASS** FILING DATE **EXAMINER'S** PATENT NO. DATE NAME **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: U47684; Identification of differentially expressed RNA in A431 cells treated with different concentration of EGF; Unpublished (1996); Yang, Y., et al. GenBank Accession No.: U47683; Identification of differentially expressed RNA in A431 cells treated with different concentration of EGF; Unpublished (1996); Yang, Y., et al. GenBank Accession No.: U47682; Identification of differentially expressed RNA in A431 cells treated with different concentration of EGF; Unpublished (1996); Yang, Y., et al. GenBank Accession No.: U47681; Identification of differentially expressed RNA in A431 cells treated with different concentration of EGF; Unpublished (1996); Yang, Y., et al. GenBank Accession No.: U47680; Identification of differentially expressed RNA in A431 cells treated with different concentration of EGF; Unpublished (1996); Yang, Y., et al. GenBank Accession No.: N50212; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: N39377; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: N38850; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: N36498; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: N31430; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: H97085; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: H91047; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: H86405; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: H84417; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: H68588; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: H64659; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: H69813; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: H64927; Generation and analysis of 280,000 human expressed sequence tags; Genome Res. 6 (9), 807-828 (1996); Hillier, L., et al. GenBank Accession No.: H60189; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al.

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SHEET 36 OF 37 SERIAL NO. ATTY. DOCKET NO. INFORMATION DISCLOSURE 39780-1618P2C5 09/905,075 STATEMENT APPLICANT: Avi Ashkenazi, et al. PTO-1449 FILING DATE: 07/13/2001 GROUP: 1646 U.S. PATENT DOCUMENTS **CLASS SUBCLASS** FILING DATE **EXAMINER'S** PATENT NO. DATE NAME **INITIALS** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Accession No.: H00194; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R82385; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R81037; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R45640: The WashU-Merck EST Project: Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R52415; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R37964; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R36774; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R35776; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R35665; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: R24709; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R20581; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R14663; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R13879; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R12231; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: R09329; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, GenBank Accession No.: R01932; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson, R., et al. GenBank Accession No.: T79290; The WashU-Merck EST Project; Unpublished 1995; Hillier, L., Wilson,

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